



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,000	04/19/2001	Akira Sakaguchi	JP920000038US1

CONFIRMATION NO. 8873

FORMALITIES LETTER



OC000000006172170

Louis P. Herzberg,
Intellectual Property Law Dept.
IBM Corporation
P.O. Box 218
Yorktown Heights, NY 10598

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2001 RNDNDAF1 00000229 090468 09838000
01 FC:105 130.00 CH

#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial Patent Application of : Initial Patent Examination
: Division
Sakaguchi et al. : Box Missing Parts
:
Serial No.: 09/838,000 : Docket No.: JP920000038US1
:
Filed: April 19, 2001 : Date: July 2, 2001

For: INPUT DEVICE, INTERFACE PREPARATION SYSTEM, DATA PROCESSING
METHOD, STORAGE MEDIUM, AND PROGRAM TRANSMISSION APPARATUS

**RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR
1.63
FILING DECLARATION AND POWER OF ATTORNEY
FILING ASSIGNMENT**

To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

Sir:

On April 19, 2001, the above-identified application was
filed with the U.S. Patent and Trademark Office, under Rule
1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which
includes the signatures of the two inventors. This copy has been
altered only to indicate the serial number and filing date of the
subject application.

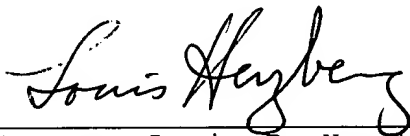
Copy of a substitute application with the claims commencing
on a separate sheet as requested (37 CFR 1.75(h))

Also attached for filing is the original fully executed
Assignment for the above-identified application with the required
Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed June 12, 2001, is also attached as per your instructions.

Respectfully submitted,
Attorney for the Applicants

by 
Attorney: Louis P. Herzberg
Reg. No. 41,500
Tel. (914) 945-2885

IBM Thomas J. Watson Research Center
Intellectual Property Law Department
P.O. Box 218
Yorktown Heights, N.Y. 10598



Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination
Sakaguchi et al. : Division
Serial No.: 09/838,000 : Box Missing Parts
Docket No.: JP920000038US1
Filed: April 19, 2001 : Date: July 2, 2001
For: INPUT DEVICE, INTERFACE PREPARATION SYSTEM, DATA PROCESSING
METHOD, STORAGE MEDIUM, AND PROGRAM TRANSMISSION APPARATUS
To: Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Response to Filing of Missing Parts of Application Under 37 CFR 1.63(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy);
5. Copy of a substitute application with claims commencing on separate sheet as requested (37 CFR 1.75(h)); and
6. Assignment to IBM with attached Recordation Form.

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231**

on July 2, 2001

Margaret McCabe
(Print name of person certifying)
Margaret McCabe
(Signature of person certifying)